# (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 21 May 2004 (21.05.2004)

**PCT** 

(10) International Publication Number WO 2004/041904 A1

(51) International Patent Classification<sup>7</sup>: C08G 63/20, C09D 167/02, 163/00

(21) International Application Number:

PCT/EP2003/011957

(22) International Filing Date: 28 October 2003 (28.10.2003)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

10/286,872

4 November 2002 (04.11.2002) US

- (71) Applicant (for all designated States except US): UCB, S.A. [BE/BE]; Allée de la Recherche, 60, B-1070 Brussels (BE).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): WU, Bin [US/US]; 2993 Tynewick Walk, Roswell, GA 30075 (US).
- (74) Agent: DESTRYKER, Elise; UCB, S.A., Allée de la Recherche, 60, B-1070 Brussels (BE).

- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CII, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Burasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CII, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NI., PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

10

15

20

25

30

35



Powder coating compositions containing anhydride end-capped crystalline polyesters

This invention relates to thermosetting coating compositions containing a carboxylic acid anhydride end-capped crystalline polyester in powder coating applications, and more particularly in low temperature cure powder coating applications.

Powder coating applications are considered green chemistry process because their compositions greatly reduce or completely eliminate the organic solvents used in liquid paints. When they are thermally cured, no or very little volatile organic compounds (VOC) are released to the surrounding environment.

A typical thermoset powder coating formulation consists of a polymeric binder, curative, pigment, flow aid, degassing agent and curing catalyst. Among all binders polyesters are widely used because they provide excellent weathering resistance and mechanical properties as well as good appearance. Polyester resins have either hydroxyl or carboxyl groups at their chain ends. Hydroxyl functional polyesters are typically formulated with a blocked isocyanate curative to form a polyester-urethane powder coating. Carboxyl functional polyesters may be formulated with a crosslinker such as triglycidyl isocyanurate, TGIC, polyepoxy resins and other compounds or polymers which contain functional groups reactable with carboxylic acid groups to form thermosetting networks. Powder coating compositions using carboxylated polyester and TGIC curative give coatings with good exterior durability, and the powder coatings using polyepoxy resins as curatives are mainly for interior applications. The polyester resins in powder coating compositions usually possess a glass transition temperature of above 50°C, which allows the powder coating composition to have storage stability without sintering.

Powder coating formulations are typically mixed, extruded, pulverized, classified and electrostatically applied onto substrates. The coated parts are then baked at elevated temperatures.

Currently, the great majority of polyesters used in heat-curable powder compositions are amorphous polyesters. When the polyester is amorphous, it is difficult to prepare perfect heat-curable powdered compositions because they have to meet often contradictory criteria. Thus, these powders may not re-agglomerate during handling, transportation and storage, which implies that the amorphous polyester must possess a sufficiently high glass transition temperature  $(T_g)$ . On the other hand, in order for the powder particles to be able to coalesce and to form a perfectly homogeneous and uniform coating, it is necessary for the  $T_g$  of the polyester to be sufficiently low to ensure a low

10

15

20

25

30

35

viscosity in the molten state which itself ensures good wetting of the pigments and other solid materials accompanying the polyester in the formulation of the said heat-curable powder compositions.

Moreover, the powder must be capable of melting at the stoving temperature in order to form an even film before the crosslinking reaction begins which results in the final curing. In order to obtain good spreading of the molten film over the surface of the substrates, it is therefore necessary for the viscosity of the polyester in the molten state to be sufficiently low. This is because a very high viscosity in the molten state prevents good spreading of the molten film and is reflected by a loss in the evenness and gloss of the coating. Finally, the rate of the crosslinking reaction is controlled by varying the temperature, the amount and/or the nature of the curative and that of the curing catalyst, which is optionally used.

For all these reasons, it is not generally recommended to produce coatings from compositions based on such amorphous polyesters by stoving at temperatures below 160°C for approximately 10 to 20 minutes.

There is increasing market interest in applying powder coatings on heat-sensitive substrates such as wood, plastics and medium-density fibreboard (MDF). In order to fulfil these needs, cure temperatures must be lowered to below 150°C. However, at low temperatures, powders tend to cure incompletely and do not flow effectively. Incomplete cure and poor flow can cause many property defects such as adhesion failure, poor chemical resistance, poor mechanical properties, orange peel, etc.

Accordingly, it is an object of the present invention to provide thermosetting powder coating compositions which, upon application and curing at low temperatures, provide finishes which overcome all these negative aspects.

It now has been surprisingly found that a binder composition comprising a carboxylic acid group containing amorphous polyester, a particular carboxylic acid anhydride end-capped semi-crystalline polyester and a glycidyl group containing polyphenoxy resin curative, when applied and cured at temperatures of from 80 to 150°C for 5-30 minutes, affords coatings having good adhesion to metallic and non-metallic surfaces, excellent flow, outstanding flexibility and chemical resistance. The composition can optionally contain one or more curing agents having functional groups reactable with the polyesters' carboxylic acid groups,

Powder coating compositions based on semicrystalline polyesters have already formed the subject of a certain number of publications in the form of papers and patents, in particular US 4,352,924, 3,387,214, 4,937,288 and 4,973,646.

10

15

20

25

30



EP 521992B1 describes a powder coating composition containing an amorphous and a semi-crystalline polyester resin. The semi-crystalline resin has an acid number of from 10 to 70 mg KOH/g. The purpose of adding the crystalline polyester is to improve the flow of the powder coating.

3

US 4,937,288 describes a powder coating system having an improved flow property that consists of a carboxyl functional acrylic polymer, a crystalline polyester and a beta-hydroxyalkylamide curing agent. The crystalline polyester has an acid number of from about 150 to 750 mg KOH/g. The system is limited to beta-hydroxyalkylamide curative and is not related to low-temperature cure powder coatings.

US 6,407,181 describes a powder coating composition based on a glycidyl (meth)acrylate copolymer and a low-viscosity carboxylic acid functional polyester, which provides a smooth, weatherable, reduced gloss coating for use on heat sensitive substrates. According to that patent, the low-viscosity carboxylic acid functional polyesters are generally linear but may be capped with trimellitic anhydride to provide a functionality of 4. The said polyesters have acid numbers between 20 and 60 mg KOH/g, and glass transition temperatures between 40 and 80°C. Depending upon the gloss reduction desired, the low viscosity polyesters can be replaced by or blended with a crystalline polyester. Neither the crystalline polyester nor the preparation of it was described in the patent. The glycidyl (meth)acrylate containing copolymer is used as the hardener.

According to the current invention there are provided a thermosetting coating composition comprising a binder wherein 100 parts by weight of this binder comprises:

- (A) 1 to 50 parts by weight of a carboxylic acid group containing amorphous polyester having an acid number of from 15 to 100 mg KOH/g.
- (B) 1 to 50 parts by weight of a carboxylic acid group containing semi-crystalline polyester, said polyester comprising the reaction product of an anhydride of a polybasic organic carboxylic acid and a hydroxyl group containing semi-crystalline polyester.
- (C) 1 to 90 parts by weight of a glycidyl group containing polyphenoxy resin having an epoxy equivalent weight of 150 to 1500 g/equiv.
- (D) 0 to 85 parts by weight of a glycidyl group containing (meth) acrylate copolymer having an epoxy equivalent weight of 1.0 to 5.0 milli-equivalents of epoxy/gram of polymer.
- (E) 0 to 20 parts by weight of a curing agent different from (C) and (D) and having functional groups reactable with the polyester (A) and (B) carboxylic acid groups.

15

20

25

30

Said thermosetting compositions are particularly suitable for low temperature cure powder applications on metal and heat sensitive substrates, which provide excellent coating appearance and mechanical properties.

The current invention solves the problems of low flow, poor appearance and inferior mechanical properties encountered in conventional low temperature cure powder coatings.

The carboxyl functional amorphous polyester (A) used in the composition according to the invention is preferably composed of, referring to the polyacid constituents, from 50 to 100 molar percent of terephthalic or isophthalic acid or their mixtures and from 50 to 0 molar percent of another aliphatic, cycloaliphatic or aromatic polyacid, and, referring to the polyol constituents, from 40 to 100 molar percent of neopentyl glycol and from 60 to 0 molar percent of another aliphatic and/or cycloaliphatic polyol. Branching of the amorphous polyester can be obtained by incorporation of a polyacid or polyol.

The carboxyl functional semi-crystalline polyester (B) used in the composition according to the invention is preferably obtained from the ring-opening reaction of the anhydride group of a trimellitic and/or pyromellitic anhydride with a hydroxyl group containing semi-crystalline polyester (b). This hydroxyl-group containing semi-crystalline polyester (b) which is preferably composed of, referring to the polyacid constituents, from 70 to 100 molar percent of terephthalic acid, 1,4-cyclohexanedicarboxylic acid or a linear chain dicarboxylic acid containing 4 to 16 carbon atoms and from 30 to 0 molar percent of another aliphatic, cycloaliphatic or aromatic polyacid, and, referring to the polyol constituents, from 70 to 100 molar percent of a cycloaliphatic or a linear chain aliphatic polyol containing 2 to 16 carbon atoms and from 30 to 0 molar percent of another aliphatic or cycloaliphatic polyol. This hydroxyl-group containing polyester (b) preferably has an hydroxylnumber of 15 to 70 mg KOH/g.

The glycidyl group containing polyphenoxy resin (C) used in the composition according to the invention is preferably from the Bisphenol A or from the phenol or cresol novolac type.

The glycidyl group containing acrylic copolymer (D) optionally used in the composition according to the invention is preferably prepared from 10 to 90 molar percent of a glycidyl group containing monomer and from 90 to 10 molar percent of one or more other monomers copolymerizable with the glycidyl group containing monomer.

10

15

20

25

30

35

The curing agent having functional groups reactive with the carboxylic acid groups of the polyesters (E) optionally used in the composition according to the invention is preferably a polyepoxy or  $\beta$ -hydroxyalkylamide compound.

The carboxyl functional amorphous polyesters (A) of the present invention have an acid number from 15 to 100 mg KOH/g and preferably from 30 to 70 mg KOH/g.

Preferably, the carboxyl functional amorphous polyesters have:

- a number averaged molecular weight ranging from 1100 to 15000 and more
   preferably from 1600 to 8500, measured by gel permeation chromatography (GPC)
- a glass transition temperature (Tg) from 40 to 80°C, measured by Differential
   Scanning Calorimetry according to ASTM D3418 with a heating gradient of 20°C
   per minute
- an ICI cone and plate viscosity according to ASTM D4287-88, measured at 200°C ranging from 5 to 15000 mPa.s.

The acid constituent of the amorphous polyester, according to the present invention, is preferably from 50 to 100 molar percent composed of terephthalic or isophthalic acid or their mixtures and from 0 to 50 molar percent of another polyacid constituent selected from one or more aliphatic, cycloaliphatic or aromatic polyacids, such as: fumaric acid, maleic acid, phthalic anhydride, 1,4-cyclohexanedicarboxylic acid, 1,3-cyclohexanedicarboxylic acid, adipic acid, glutaric acid, pimelic acid, suberic acid, azealic acid, sebacic acid, 1,12-dodecanedioic acid, trimellitic acid or pyromellitic acid, etc., or the corresponding anhydrides.

The glycol constituent of the amorphous polyester, according to the present invention, is preferably from 40 to 100 molar percent composed of neopentyl glycol and from 0 to 60 molar percent of another glycol constituent selected from one or more aliphatic or cycloaliphatic glycols such as: ethylene glycol, propylene glycol, 1,4-butanepolyol, 1,6-hexanepolyol, 1,4-cyclohexanepolyol, 1,4-cyclohexanedimethanol, 2-methyl-1,3-propanepolyol, 2-butyl-2-ethyl-1,3-propanepolyol, hydrogenated Bisphenol A, hydroxypivalate of neopentyl glycol, trimethylolpropane, ditrimethylolpropane, pentaerythritol, etc.

The carboxyl functional semi-crystalline polyesters (B) used in the present invention preferably have a carboxyl number from 30 to 120 mg KOH/g and more preferably from 50 to 100 mg KOH/g and most preferable from 70 to 100 mg KOH/g.

Preferably, the carboxyl functional semi-crystalline polyesters are further characterized by:

 a number averaged molecular weight ranging from 1100 to 17000 and more preferably from 1400 to 11200

- a fusion zone from 50 to 150°C, measured by Differential Scanning Calorimetry (DSC) according to ASTM D3418 with a heating gradient of 20°C per minute
- a glass transition temperature (Tg) of below 40°C, measured by Differential Scanning Calorimetry (DSC) according to ASTM D3418 with a heating gradient of 20°C per minute
- a degree of crystallinity, measured by Differential Scanning Calorimetry (DSC)
   according to ASTM D3415 of at least 5 J/g and preferably at least 10 J/g
- an ICI (cone/plate) viscosity according to ASTM D4287-88, measured at 100°C of at least 10 mPa.s.

15

20

25

30

10

5

The acid constituent of the semi-crystalline polyester, according to the present invention, is preferably from 70 to 100 molar percent composed of terephthalic acid, 1,4-cyclohexanedicarboxylic acid or a linear chain dicarboxylic acid containing from 4 to 16 carbon atoms such as succinic acid, adipic acid, glutaric acid, pimelic acid, suberic acid, azelaic acid, sebacic acid, 1,10-decanedioic acid, 1,11-undecanedioic acid, 1,12-dodecanedioic acid, 1,13-triadecanedioic acid, 1,14-tetradecanedioic acid, 1,15-pentadecanedioic acid, 1,16-hexadecanedioic acid, etc. used in a mixture or alone, and from 30 to 0 molar percent of another aliphatic, cycloaliphatic or aromatic polyacid such as fumaric acid, maleic anhydride, phthalic anhydride, isophthalic acid, 1,3-cyclohexanedicarboxylic acid, 1,2-cyclohexanedicarboxylic acid, etc.

The glycol constituent of the semi-crystalline polyester, according to the present invention, is preferably from 70 to 100 molar percent composed of a cycloaliphatic or linear-chain aliphatic polyol containing 2 to 16 carbon atoms such as 1,4-cyclohexanepolyol, 1,4-cyclohexanedimethanol, hydrogenated Bisphenol A, 2,2,4,4-tetramethyl-1,3-cyclobutanol or 4,8-bis(hydroxymethyl)tricyclo[5.2.1.0]decane, ethylene glycol, 1,3-propanepolyol, 1,4-butanepolyol, 1,5-pentanepolyol, 1,6-hexanepolyol, 1,7-heptanepolyol, 1,8-octanepolyol, 1,9-nonanepolyol, 1,10-decanepolyol, 14-tetradecanepolyol, 1,16-hexadecanepolyol, etc., used in a mixture or alone, and from 30 to 0 molar percent of another aliphatic glycol such as propylene glycol, neopentyl glycol,

15

20

25

2-methyl-1,3-propanepolyol, 2-butyl-2-ethyl-1,3-propanepolyol, hydroxypivalate of neopentyl glycol, etc.

The glycidyl group containing polyphenoxy resin (C) used in the composition of the present invention is preferably selected from the Bisphenol A based epoxy resins, phenol or cresol epoxy novolacs.

Bisphenol A based epoxy resins are typically prepared from the reaction of Bisphenol A and epichlorohydrin, wherein the excess of epichlorohydrin determines the number average molecular weight of the epoxy resin. See W.G. Potter: Epoxide Resins, Springer-Verlag, New York (1970) and Y. Tanaka, A. Okada, I. Tomizuka in C.A. May, Y. Tanaka (eds.): Epoxy Resins Chemistry and Technology, Chapter 2, pp. 9 – 134, Marcel Dekker, New York 1973.

The phenol and cresol epoxy novolacs are generally prepared by the acid-catalyzed condensation of formaldehyde with either phenol or cresol.

Epoxidation of the novolacs with epichlorohydrin furnishes the epoxy novolacs. Commercially available epoxy resins such as Epikote 1055 from Shell, Araldite GT7004 or Araldite ECN9699 from Ciba, D.E.R.664 from Dow and EPON 2002 from Shell are typical examples of glycidyl group containing polyphenoxies.

The glycidyl group containing acrylic copolymers (D) optionally used in the composition of the present invention have an epoxy equivalent weight of 1.0 to 5.0 milliequivalents of epoxy/gram of polymer.

Preferably, the glycidyl group containing acrylic copolymers are further characterized by:

- a number averaged molecular weight ranging from 1000 to 15000
- a glass transition temperature ( $T_g$ ) from 40 to 85°C, measured by Differential Scanning Calorimetry (DSC), according to ASTM D3418 with a heating gradient of 20°C per minute
- an ICI cone and plate viscosity at 200°C of at least 100 mPa.s

The glycidyl group containing monomer used to make the acrylic copolymer of the present invention is preferably used in molar percentages ranging from 10 to 90 and is preferably selected from the group of glycidyl acrylate, glycidyl methacrylate, methyl glycidyl acrylate, 3,4-epoxycyclohexylmethyl(meth)acrylate and acrylic glycidyl ether. They can be used alone or in mixtures of two or more.

10

15

20

25

30

35

Other monomers copolymerizable with the epoxy group containing monomer are preferably used in molar percentages ranging from 10 to 90 and selected from:

- 40 to 100 mole percentage of acrylic or methacrylic ester monomers such as methyl acrylate, ethyl acrylate, n-propyl acrylate, isopropyl acrylate, n-butyl acrylate, n-decyl acrylate, methyl methacrylate, ethyl methacrylate, n-propyl methacrylate, isopropyl methacrylate, n-butyl methacrylate, isobutyl methacrylate, n-amyl methacrylate, n-hexyl methacrylate, isoamyl methacrylate, allyl methacrylate, sec-butyl methacrylate, tert-butyl methacrylate, 2-ethylbutyl methacrylate, cinnamyl methacrylate, crotyl methacrylate, cyclohexyl methacrylate, cyclopentyl methacrylate, methallyl methacrylate, n-octyl methacrylate, 2-ethylhexyl methacrylate, 2-phenylethyl methacrylate and phenyl methacrylate.
- 0 to 60 mole percent of other ethylenically unsaturated copolymerizable monomers such as styrene, alkyl-substituted styrenes and chloro-substituted styrenes, acrylonitrile, vinyl chloride and vinylidene fluoride and vinyl acetate.

The curing agent (E) optionally used in the composition in accordance to the present invention, having functional groups reactive with the polyester's carboxylic acid groups, is preferably selected from:

- polyepoxy compounds, which are solid at room temperature and contain at least two epoxy groups per molecule, such as, for example, triglycidyl isocyanurate (TGIC) diglycidyl terephthalate, triglycidyl trimellitate, or mixutres thereof, and Araldite PT910 or PT 912 both manufactured by Ciba.
- β-hydroxyalkylamides which contains at least one, preferably two bis(β-hydroxyalkyl)amide groups such as those mentioned in US patents 4,727,111, 4,788,255, 4,076,917, EP 322,834 and EP 473,380.

The carboxylic acid group containing amorphous polyester (A) and the hydroxyl group containing semi-crystalline polyester (b), according to the present invention, may be prepared using conventional esterification techniques well known in the art. The polyesters are preferably prepared according to a procedure consisting of one or more reaction steps.

For the preparation of these polyesters, a conventional reactor equipped with a stirrer, an inert gas (nitrogen) inlet, a thermocouple, a distillation column connected to a water-cooled condenser, a water separator and a vacuum connection tube are used.

10

15

20

25

30

The esterification conditions used to prepare the polyesters are conventional, namely a standard esterification catalyst, such as dibutyltin oxide, dibutyltin dilaurate, n-butyltin trioctoate, sulfuric acid or a sulphonic acid, can be used in an amount from 0.05 to 1.50% by weight of the reactants and optionally, color stabilizers, for example, phenolic antioxidants such as Irganox 1010 (Ciba) or phosphonite- and phosphite-type stabilizers such as tributylphosphite, can be added in an amount from 0 to 1% by weight of the reactants.

Polyesterification is generally carried out at a temperature which is gradually increased from 130°C to about 190 to 250°C, first under normal pressure, then, when necessary, under reduced pressure at the end of each process step, while maintaining these operating conditions until a polyester with the desired hydroxyl and/or acid number is obtained. The degree of esterification is monitored by determining the amount of water formed in the course of the reaction and the properties of the obtained polyester, for example, hydroxyl number, acid number, and viscosity.

The carboxylic acid group containing semi-crystalline polyester (B) is generally obtained by the ring opening reaction of the anhydride group of a carboxylic acid anhydride with the hydroxyl group of the hydroxyl group containing semi-crystalline polyester (b) at a temperature of from 120 to 200°C.

To the carboxylic acid group containing amorphous and semi-crystalline polyesters, crosslinking catalysts can optionally be added. These catalysts are added in order to accelerate crosslinking reactions of the thermosetting powder composition during curing. Examples of such catalysts include amines (e.g. 2-phenylimidazoline), phosphines (e.g. triphenylphosphine), ammonium salts (e.g. tetrabutylammonium bromide or tetrapropylammonium chloride), phosphonium salts (e.g. ethyltriphenylphosphonium bromide (BETP) or tetrapropylphosphonium chloride). These catalysts are preferably used in an amount of from 0.1 to 5% with respect to the weight of the binder.

The carboxylic acid group containing semi-crystalline polyesters used in the composition according to the present invention is more preferably a carboxylic acid anhydride end-capped semi-crystalline polyester, prepared from the ring-opening reaction of the anhydride group of at least one, and preferably two mole(s), of a carboxylic acid anhydride, e.g. trimellitic anhydride, with one mole of hydroxyl functional prepolymers having hydroxyl numbers of from 15 to 70 mg KOH/g, which was prepared from the polycondensation of:

10

20

25

30

35

- 1,4-cyclohexanedicarboxylic acid and a linear chain aliphatic C2-C16 polyol,
   or
- a linear chain aliphatic C4-C16 polyacid and a linear chain aliphatic C2-C16 polyol or a cycloaliphatic polyol

Particularly preferred carboxylic acid anhydride end-capped semi-crystalline polyesters are those prepared from the ring opening reaction of two moles of anhydride, especially trimellitic anhydride, with one mole of hydroxyl functional prepolymers prepared from the condensation of:

- 1,4-cyclohexandicarboxylic acid and ethylene glycol, 1,4-butanepolyol or 1,6-hexanepolyol, or
- 1,12-dodecanedioic acid and ethylene glycol, 1,4-butanepolyol, 1,6hexanepolyol or 1,4-cyclohexanedimethanol, or
- 1,4-cyclohexanedimethanol and succinic acid, adipic acid or azelaic acid.

These trimellitic anhydride end-capped semi-crystalline polyesters have a sharp melting zone, a high degree of crystallinity, a high reactivity towards reactable groups of crosslinkers, and properties that are different from those found in the semi-crystalline polyesters known from anterior arts.

Used in the thermosetting powder coating compositions of the present invention, they provide high reactivity, excellent flow and good storage stability.

The glycidyl group containing acrylic copolymer (D) can be prepared by conventional polymerization techniques, either in mass, in emulsion, or in the solution of an organic solvent. The nature of the solvent used is very little of importance, provided that it is inert and that it readily dissolves the monomers and the synthesized copolymer. Suitable solvents include toluene, ethyl acetate, butyl acetate, xylene, etc. The monomers are generally copolymerized in the presence of a free radical polymerization initiator (benzoyl peroxide, dibutyl peroxide, azo-bis-isobutyronitrile, and the like) in an amount representing 0.1 to 4.0% by weight of the monomers.

To achieve a good control of the molecular weight and its distribution, a chain transfer agent, preferably of the mercaptan type, such as n-dodecylmercaptan, t-dodecanethiol, iso-octylmercaptan, or of the carbon halide type, such as carbon tetrabromide, bromotrichloromethane, etc., can also added in the course of the reaction. The chain transfer agent is usually used in amounts of up to 10% by weight of the monomers used in the copolymerization.

20

25

30

35

A cylindrical, double walled reactor equipped with a stirrer, a condenser, an inert gas (nitrogen, for example) inlet and outlet, and metering pump feeding systems is generally used to prepare the glycidyl group containing acrylic copolymer. Polymerization is carried out under conventional conditions. Thus, when polymerization is carried out in solution, for example, an organic solvent is first introduced into the reactor and heated to the refluxing temperature under an inert gas atmosphere (nitrogen, carbon dioxide, and the like) and a homogeneous mixture of the required monomers, the free radical polymerization initiator and the chain transfer agent, when needed, is then added to the solvent gradually over several hours. The reaction mixture is then maintained at the indicated temperature for certain hours, while stirring. The solvent is then removed from the copolymer obtained in vacuo.

The binder system of the thermosetting composition of the invention is generally composed in such a way that for each equivalent of carboxyl group present in the amorphous polyester (A) and semi-crystalline polyester (B), there is between 0.3 and 2.0 and preferably between 0.6 and 1.7 equivalents of epoxy groups from the polyphenoxy resin (C), optionally the acrylic copolymer (D) and the curing agent (E). The particular thermosetting polyester blend (A) and (B), can be obtained by dry blending the amorphous and the semi-crystalline polyester using a mechanical mixing procedure as available for the premixing of the powder paint constituents.

Alternatively, the amorphous and the semi-crystalline polyester can be blended in the melt using the conventional cylindrical double-walled reactor or by extrusion such as the Betol BTS40.

In addition to the essential components described above, compositions within the scope of the present invention can also include flow control agents such as Resiflow P-67 (Estron), Modaflow (Monsanto), Acronal 4F (BASF), etc., and degassing agents such as Benzoin (BASF) etc. To the formulation UV-light absorbers such as Tinuvin 900 (Ciba), hindered amine light stabilizers represented by Tinuvin 144 (Ciba), other stabilizing agents such as Tinuvin 312 and 1130 (Ciba), antioxidants such as Irganox 1010 (Ciba) and stabilizers of phosphonite or phosphite types, can also be added.

Both pigmented and clear lacquers can be prepared. A variety of dyes and pigments can be utilized in the composition of this invention. Examples of useful pigments and dyes are: metallic oxides such as titanium dioxide, iron oxide, zinc oxide and the like, metal hydroxides, metal powders, sulphides, sulphates, carbonates, silicates such as ammonium silicate, carbon black, talc, china clay, barytes, iron blues, lead blues, organic reds, organic maroons and the like.

The components of the composition according to the invention may be mixed by dry blending in a mixer or blender (e.g. drum mixer). The premix is then homogenized at temperatures ranging from 50 to 120°C in a single screw extruder such as the BUSS-Ko-Kneter or a twin screw extruder such as the PRISM or APV. The extrudate, when cooled down, is ground to a powder with a particle size ranging from 10 to 150  $\mu$ m. The powdered composition may be deposited on the substrate by use of a powder gun such as an electrostatic CORONA gun or TRIBO gun. On the other hand, well known methods of powder deposition such as the fluidized bed technique can also be used. After deposition the powder is heated to a temperature between 80 and 150°C, causing the particles to flow and fuse together to form a smooth, uniform, continuous, non-cratered coating on the substrate surface.

The following examples are submitted for a better understanding of the invention without being restricted thereto.

15

5

### Example A

A trimellitic anhydride end-capped crystalline polyester was prepared based on the following mixture of ingredients:

Ingredients	Parts by weight (g)
Dodecanedioic acid	4127.2
1,4-butanepolyol	1784.2
Trimellitic anhydride	721.3
Fascat 41021	13.2

<sup>1</sup>Fascat 4102 is butyltin tris(2-ethylhexanoate) available from Atofina.

20

25

The dodecanedioic acid, 1,4-butanepolyol and Fascat 4102 were charged to a reaction vessel and heated under a nitrogen atmosphere until all the ingredients melted. The temperature of the reaction mixture was gradually increased to 220°C. The mixture was stirred and held at 220°C until an acid value of below 5 mg KOH/g was obtained. The reaction mixture was then cooled to 170-190°C followed by the addition of the trimellitic anhydride. The temperature was maintained until the reaction mixture became clear and an acid value of 70-80 mg KOH/g was obtained. Vacuum was applied to remove water to push the polycondensation to completion. The reaction mixture was transferred from the

vessel to a receiving container and allowed to cool to room temperature to give a solid product. The resultant polyester had an acid number of 78 mg KOH/g, a melt viscosity at 100°C measured by Brookfield Cone and Plate Viscometer of 5.5 poise, a melting temperature measured by Differential Scanning Colorimeter (DSC) of 58.9°C.

5

### Example B

A trimellitic anhydride end-capped crystalline polyester was prepared based on the following mixture of ingredients:

10

15

20

Ingredients	Parts by weight (g)
Dodecanedioic acid	3692.0
1,6-hexanepolyol	2143.8
Trimellitic anhydride	729.3
Fascat 4102	12.6

The dodecanedioic acid, 1,6-hexanepolyol and Fascat 4102 were charged to a reaction vessel and heated under a nitrogen atmosphere until all the ingredients melted. The temperature of the reaction mixture was gradually increased to 230°C. The mixture was stirred and held at 230°C until an acid value of below 5 mg KOH/g was obtained. The reaction mixture was then cooled to 170-190°C followed by the addition of the trimellitic anhydride. The temperature was maintained until the reaction mixture became clear and an acid value of 70-80 mg KOH/g was obtained. Vacuum was applied to remove water to push the polycondensation to completion. The reaction mixture was transferred from the vessel to a receiving container and allowed to cool to room temperature to give a solid product. The resultant polyester had an acid number of 76 mg KOH/g, a melt viscosity at 100°C measured by Brookfield Cone and Plate Viscometer of 6.5 poise, a melting temperature measured by Differential Scanning Colorimeter (DSC) of 60.0°C.

25

### Example C

A carboxylic acid group containing amorphous polyester was prepared based on the following mixture of ingredients:

10

15

20

25

Ingredients	Parts by weight (g)
Terephthalic acid	5389.1
Adipic acid	598.8
Neopentyl glycol	4130.2
Trimellitic anhydride	1198.8
Fascat 4102	25.0

The terephthalic acid and adipic acid were charged to a reaction vessel containing molten neopentyl glycol and Fascat 4102 at a temperature of 150°C and under a nitrogen atmosphere. The temperature of the reaction mixture was gradually increased to 230°C. The mixture was stirred and held at 230°C until an acid value of below 5 mg KOH/g was obtained. Vacuum was applied to remove water to push the polycondensation to completion. The reaction mixture was then cooled to 170-190°C followed by the addition of the trimellitic anhydride. The temperature was maintained until the reaction mixture became clear and an acid value of 70-82 mg KOH/g was obtained. The reaction mixture was transferred from the vessel to a receiving container and allowed to cool to room temperature to give a solid product. The resultant polyester had an acid number of 80 mg KOH/g, a melt viscosity at 175°C measured by Brookfield Cone and Plate Viscometer of 90 poise, a glass transition temperature measured by Differential Scanning Colorimeter (DSC) of 63.3°C.

## Example D

A glycidyl group containing acrylic copolymer was prepared based on the following procedure:

800 parts of n-butyl acetate are charged in a 5-litre, double walled flask equipped with a stirrer, a water-cooled condenser, an inlet for nitrogen and a thermocouple attached to a thermo-regulator. The flask content is then heated and stirred continuously while nitrogen is purged through the solvent. At 125°C a mixture of 91 parts of tert-butylperoxybenzoate in 200 parts of n-butyl acetate are fed in the flask during 215 minutes with a peristaltic pump. 5 Minutes after the feeding, the other pump is started to feed the mixture of 284 parts of glycidyl methacrylate, 312 parts of butyl methacrylate and 312 parts of methyl methacrylate within 180 minutes. The total synthesis time was 315 minutes.

After evaporation of the n-butyl acetate an acrylic copolymer with following characteristics was obtained:

ICI viscosity @200°C

400 poise

 $M_n$ 

5

2600

## Example E

A pigmented low temperature cure powder coating composition was prepared based on the following mixture of ingredients:

Ingredients	Parts by weight (g)
Example C	136
Crystalline Polyester prepared in Example A	34
EPON 2002	170
TiO <sub>2</sub>	150
Resiflow P-67	5
Benzoin	2
ВЕТР	1.5

The ingredients were pre-mixed, melt blended at 90°C in a Prism TSE 16 PC Twin Screw Extruder. The extrudate was chilled and broken into flakes, which were particulated, classified and electrostatically sprayed onto metal or MDF panels and cured at 130°C for 20 minutes. The properties of the resultant coating are reported in Table 1 below.

## Example F

20

15

A pigmented low temperature cure powder coating composition was prepared based on the following mixture of ingredients:

Ingredients	Parts by weight (g)
Example C	127.5

Crystalline Polyester prepared in Example B	42.5
EPON 2002	170
TiO <sub>2</sub>	149.5
Resiflow P-67	5
Benzoin	3.5
BETP	2

The ingredients were pre-mixed, melt blended at 85°C in a Prism TSE 16 PC Twin Screw Extruder. The extrudate was chilled and broken into flakes, which were particulated, classified and electrostatically sprayed onto metal or MDF panels and cured at 130°C for 20 minutes. The properties of the resultant coating are reported in Table 1 below.

Example G

A pigmented low temperature cure powder coating composition was prepared based on the following mixture of ingredients:

Ingredients	Parts by weight (g)
Example C	127.5
Crystalline Polyester prepared in Example B	42.5
EPON 2002	150
Glycidyl acrylic copolymer of Example D	20
TiO <sub>2</sub>	149.5
Restflow P-67	5
Benzoin	3.5
BETP	2.0

The ingredients were pre-mixed, melt blended at 90°C in a Prism TSE 16 PC Twin Screw Extruder. The extrudate was chilled and broken into flakes, which were particulated, classified and electrostatically sprayed onto metal or MDF panels and cured at 130°C for 25 minutes. The properties of the resultant coating are reported in Table 1 below.

Table 1

Example	Gle	oss	DOI	Reverse Impact	MEK Double	Visual
No.	60∘	20°		(in.lb.)	Rubs	Appearance
E	99.5	94.2	80	160	>100	smooth
F	99.0	95.0	80	160	> 100	smooth
G	100:0	94.2	80	80	> 100	fairly smooth



10

15

20

25

- 1. A thermosetting coating composition comprising a binder wherein 100 parts by weight of this binder comprises:
- (A) 1 to 50 parts by weight of a carboxylic acid group containing amorphous polyester having an acid number of from 15 to 100 mg KOH/g.
- (B) 1 to 50 parts by weight of a carboxylic acid group containing semi-crystalline polyester, said polyester comprising the reaction product of an anhydride of a polybasic organic carboxylic acid and a hydroxyl group containing semi-crystalline polyester.
- (C) 1 to 90 parts by weight of a glycidyl group containing polyphenoxy resin having an epoxy equivalent weight of 150 to 1500 g/equiv.
- (D) 0 to 85 parts by weight of a glycidyl group containing (meth) acrylate copolymer having an epoxy equivalent weight of 1.0 to 5.0 milli- equivalents of epoxy/gram ofpolymer.
- (E) 0 to 20 parts by weight of a curing agent different from (C) and (D) and having functional groups reactable with the polyester (A) and (B) carboxylic acid groups.
- 2. The composition according to Claim 1 wherein the carboxylic acid group containing amorphous polyester (A) is composed of from 50 to 100% mole of terephthalic acid or isophthalic acid or their mixtures and from 0 to 50% mole of an aliphatic, cycloaliphatic or aromatic polyacid different from terephthalic acid or isophthalic acid, referring to the polyacid constituents, and from 40 to 100% mole of neopentyl glycol and from 0 to 60% mole of another aliphatic and/or cycloaliphatic polyol referring to the polyol constituents.
- 3. The composition according to Claim 1 or 2 wherein the carboxylic acid group containing semi-crystalline polyester (B) is obtained from the ring opening reaction of the anhydride group of trimellitic anhydride and/or pyromellitic anhydride with a hydroxyl group containing semi-crystalline polyester having a hydroxyl number of from 15 to 70 mg KOH/g, and comprising from 70 to 100% mole of terephthalic acid, 1, 4-cyclohexanedicarboxylic acid or a linear chain dicarboxylic acid containing 4 to 16 carbon atoms and from 0 to 30% mole of another aromatic, aliphatic or cycloaliphatic polyacid, referring to the polyacid constituents, and from 70 to 100% mole of a cycloaliphatic or linear chain aliphatic polyol containing 2 to 16 carbon atoms and from 0 to 30% mole of another aliphatic or cycloaliphatic polyol, referring to the polyol constituents.

20

- 4. The composition according to any of Claims 1 to 3 wherein the glycidyl group containing polyphenoxy resin (C) is a Bisphenol A based epoxy resin or a phenol or cresol epoxy Novolac.
- 5. The composition according to any of Claims 1 to 4 wherein the glycidyl group containing (meth)acrylate copolymer (D) is prepared from 10 to 90% mole of a glycidyl group containing monomer and from 90 to 10% mole of one or more monomer copolymerizable with the glycidyl group containing monomers, said (meth)acrylate copolymer having a number averaged molecular weight of from 1000 to 15000.
- The composition according to any of Claims 1 to 5 wherein the curing agent (E) is
   triglycidyl isocyanurate, diglycidyl terephthalate, triglycidyl trimellitate, or a mixture of them, or a β-hydroxyalkylamide group containing compound.
  - 7. The composition according to any of Claims 1 to 6 wherein the carboxylic acid group containing amorphous polyester (A) has the following properties:
    - a number averaged molecular weight of from 1100 to 15000,
- a glass transition temperature (Tg) from 40 to 80°C and an ICI (cone/plate) viscosity at 200°C ranging from 5 to 15000 mPa.s.
  - 8. The composition according to Claim 7 wherein the carboxylic acid group containing amorphous polyester (A) has an acid number of from 30 to 70 mg KOH/g.
  - 9. The composition according to any of claims 1 to 8 wherein the carboxylic acid group containing semi-crystalline polyester (B) has the following properties:
    - an acid number from 30 to 120 mg KOH/g,
    - a number average molecular weight ranging from 1100 to 17000,
    - a fusion zone from 50 to 150°C.
    - a glass transition temperature (Tg) below 40°C,
- a degree of crystallinity of at least 5 J/g, and
  - an ICI (cone/plate) viscosity at 100°C of at least 10 mPa.s.
  - 10. The composition according to Claim 9 wherein the acid number of (B) is from 50 to 100 mg KOH/g.
  - 11. The composition according to any of Claims 1 to 10 wherein the glycidyl group containing acrylic copolymer (D) has the following properties:
    - a number average molecular weight ranging from 1000 to 15000,
    - a glass transition temperature (Tg) from 40 to 85°C, measured by Differential Scanning Calorimetry (DSC), according to ASTM D3418 with a heating gradient of 20°C per minute, and

20

20

an ICI (cone/plate) viscosity determined by the ICI method at 200°C of at least 100 mPa.s.

- 12. The composition according to any of Claims 1 to 11 containing from 0.1 to 5.0 parts by weight, referring to 100 parts of binder, of a catalyzing compound selected from the group consisting of amine, phosphine, ammonium salt and phosphonium salt catalysts.
- 13. The composition according to any of Claims 1 to 12 additionally containing: UV-light absorbers and/or hindered amine light stabilizers, flow control agents, and/or
- 10 degassing agents.
  - 14. A clear lacquer containing the thermosetting powder composition of any of Claims 1 to 13.
  - 15. The thermosetting powder coating composition according to any of of Claims 1 to 13 additionally containing at least one of pigments, dyes and fillers.
- 15 16. A method for applying the thermosetting powder composition of any of Claims 1 to 13 and 15 which comprises applying it by an electrostatic or friction charging spray gun or fluidized bed technique.
  - 17. An entirely or partially coated substrate, wherein the coating material used, is a powder coating composition containing the composition according to any of Claims 1 to 13 and 15.



Internation PCT/FP 03/11057

		1017	EF U3/1195/
A. CLASS IPC 7	FICATION OF SUBJECT MATTER C08G63/20 C09D167/02 C09D163	/00	
According to	o International Patent Classification (IPC) or to both national classifi	cation and IPC	
	SEARCHED		
IPC 7	ocumentation searched (classification system followed by classification ${\tt C08G-C09D}$ .	lion symbols)	
Documenta	ion searched other than minimum documentation to the extent that	such documents are included in the	ne fields searched
Electronic d	ata base consulted during the international search (name of data b.	and where protical peaceh to	The care company
_	ternal, PAJ, WPI Data	and and more precious care or w	
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the re	levant passages	Relevant to claim No.
А	US 6 472 472 B2 (JUNG ROLF ET A 29 October 2002 (2002-10-29) claims	L)	1
A	EP 1 125 996 A (UCB SA) 22 August 2001 (2001-08-22) claims		1
A	US 2001/047062 A1 (THAMES SHELBY 29 November 2001 (2001-11-29) page 2, right-hand column, paragiclaims		1
A	WO 02/055620 A (KNOOPS NELE ;MOEI (BE); MAETENS DANIEL (BE); UCB SA 18 July 2002 (2002-07-18) claims		1
1	•	-/ <b></b>	
<u> </u>	er documents are listed in the continuation of box C.	X Patent family members	are listed in annex.
° Special cat	egories of clied documents:	"T" later document published afte	r the international filing date .
conside	nt defining the general state of the art which is not ared to be of particular relevance becoment but published on or after the international	or priority date and not in con cited to understand the prince invention	nflict with the application but iple or theory underlying the
filing da	te t which may throw doubts on priority daim(s) or	"X" document of particular relevant cannot be considered novel	or cannot be considered to
which is	c which may mrow doubts on priority (alim(s) or c cited to establish the publication date of another or other special reason (as specified)	"Y" document of particular relevan	en the document is taken alone nce; the claimed invention
	nt referring to an oral disclosure, use, exhibition or	document is combined with o	olve an inventive step when the one or more other such docu-
*P* documer	t published prior to the international filing date but in the priority date claimed	in the art.  *& document member of the sam	ing obvious to a person skilled ie patent family
Date of the a	ctual completion of the international search	Date of mailing of the interna	tional search report
10	March 2004	23/03/2004	,
Name and ma	ailing address of the ISA	Authorized officer	
	European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2016, Tx. 31 651 epo nl,	Deraedt G	



Internation Cation No
PCT/EP 03/11957

C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 373 084 A (CHANG YEONG-HO) 13 December 1994 (1994-12-13) claims	1
		100
	•	·
	·	·
•		
		·
		*
	÷ .	

Information on patent family members

Internation Slication No PCT/EP 03/11957

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 6472472	B2	13-12-2001	US	6270855 B1	07-08-2001
05 0472172	22	10 12 2001	US	2001051227 A1	13-12-2001
			ΑÜ	3750200 A	04-10-2000
	•		EP	1165712 A1	02-01-2002
			WO	0055268 A1	21-09-2000
			AU	718885 B2	20-04-2000
				3069897 A	09-12-1997
			AU		
			BR	9709009 A	03-08-1999
			EP	0898595 A1	03-03-1999
		•	JP	2000511575 T	05-09-2000
			МО	984805 A	15-10-1998
			SI	9720032 A	31-08-1999
			US	6153264 A	28-11-2000
			US	6458439 B1	01-10-2002
		•	CA	2246453 A1	27-11-1997
			CN	1218491 A ,B	02-06-1999
			HU	9902696 A2	29-11-1999
			KR	2000010968 A	25-02-2000
			NZ	331218 A	29-11-1999
			NZ PL	331216 A 329923 A1	26-04-1999
			RU	2188837 C2	10-09-2002
			US	5942285 A	24-08-1999
			WO	9744394 A1	27-11-1997
		,	US	2003207035 A1	06-11-2003
			US	6551662 B1	22-04-2003
			ZA	9703692 A	25-11-1997
EP 1125996	Α	22-08-2001	EP	1125996 A1	22-08-2001
			ΑU	3170301 A	20-08-2001
			CA	2399264 A1	16-08-2001
			CN	1398285 T	19-02-2003
			WO	0159021 A1	16-08-2001
			EP	1268695 A1	02-01-2003
			JP	2003533552 T	11-11-2003
			ÜS	2003158285 A1	21-08-2003
US 2001047062	A1	29-11-2001	AU	4650901 A	03-10-2001
00 E00104700E	,,,		CA	2403812 A1	27-09-2001
			CN	1425048 T	18-06-2003
				0170893 A2	27-09-2001
			WO EP	1268693 A2	02-01-2003
					24-09-2003
			JP	2003528200 T	
			MO	0129107 A1	26-04-2001
			EP	1230285 A1	14-08-2002
WO 02055620	Α	18-07-2002	CA	2400592 A1	18-07-2002
			CN	1404516 T	19-03-2003
			MÓ	02055620 A2	18-07-2002
			ΕP	1257606 A2	20-11-2002
			US	2003153640 A1	14-08-2003
	 А	13-12-1994	MX	9304418 A1	28-02-1994
US 5373084	,,,	10 45 455.			